
(12) **UK Patent Application** (19) **GB** (11) **2 006 709 A**

(21) Application No. 7838395
(22) Date of filing 27 Sep 1978
(23) Claims filed 27 Sep 1978
(30) Priority data
(31) 52/116249
(32) 28 Sep 1977
(33) Japan (JP)
(43) Application published
10 May 1979
(51) INT CL²
B01J 13/02
(52) Domestic classification
B8C A
(56) Documents cited
GB 1507739
GB 1476042
GB 1355124
(58) Field of search
B8C
(71) Applicants
Mitsubishi Paper Mills,
Ltd.
6-21 Marunouchi-2-
chome, Chiyoda-ku,
Tokyo, Japan
(72) Inventor
Mitsuru Fuchigami
(74) Agents
Mewburn Ellis & Co

(54) Production of micro-capsules

(57) A hydrophobic material such as an oil solution of a dye is dispersed by the aid of a styrene-maleic anhydride copolymer to form an aqueous dispersion, then a melamine-formaldehyde precondensate is added to said dispersion and the mixture is heated and hardened to produce micro-capsules. These micro-capsules are proof against leakage or oozing of the hydrophobic material, are uniform in shape and can serve excellently, for example, as dye capsules used for carbonless copying paper.

BEST AVAILABLE COPY

GB 2 006 709 A

2006709

1/1



X 5000

SPECIFICATION

Production of micro-capsules

5 This invention relates to micro-capsules which are useful for retaining a per se unstable substance such as a reactive or liquid substance in a stable state.

10 A variety of methods including the popularly used physical method, coacervation method, interfacial polymerization method and in-situ method are known for the production of micro-capsules.

The products according to the physical method are suited for certain uses such as drugs but quite inferior in retention of the encapsulated material because of imperfect capsule film.

15 The coacervation method is widely used and the products therefrom are advantageously used for encapsulation of such materials as dyes for no-carbon copying paper, adhesives, liquid crystals etc. Usually, gelatin and at least one anionic material selected from gum arabic, sodium alginate, styrene-maleic anhydride copolymers, vinyl methyl ether-maleic anhydride copolymers, phthalic acid esters of starch, polyacrylic acid and the like are used. The essential defects of this method are that, because of use of gelatin, the products are costly, poor in water resistance, vulnerable to the attack of the microorganisms and hard to make a high-concentration capsule emulsion. Also, a complicated process is required for the production of capsules. The interfacial polymerization method is characterized by forming such material as polyamide, epoxy resin, polyurethane, polyurea or the like at the interface between the hydrophobic liquid and water and is capable of producing the capsules with excellent contents-retainability depending on the material of capsule. This method, however, involves difficulties in controlling the reactions in the process as it uses a highly reactive or strongly poisonous material such as an acid chloride, isocyanate, epoxy compound or the like. This method also has the drawbacks that the capsules obtained therefrom can not contain certain types of substance such as a composition including active hydrogen and that the materials used in this method are rather expensive.

20 The in-situ method utilizing an aminoplast (amino resin) wall material is also practically applied in the industry, and many patent applications have been filed in relation to this method (such as Japanese Patent Publication Nos. 12380/62, 12381/62, 3495/69, 14379/69, 30282/71, 10780/72 and 23165/72).

25 This method has the advantages that an inexpensive urea-formaldehyde resin can be used and that the capsules with strong resistance to water and microorganisms are obtained, but according to this method, sufficient denseness may not be provided to the capsule wall surrounding a hydrophobic material, resulting in inability to effect sufficient emulsification or dispersion of such material.

30 As an improvement of this method, use of an ethylene-maleic anhydride copolymer, methyl vinyl ether-maleic anhydride copolymer, polyacrylic acid or the like as modifier or emulsifier has been prop-

osed (Japanese Patent Kokai (Laid-Open) No. 9079/76).

35 The present invention relates to the micro-capsules using a melamine-formaldehyde resin as wall material.

40 Melamine-formaldehyde resin, as compared with urea-formaldehyde resin, is higher in curing speed, tensile strength, compressive strength, heat resistance and deformation temperature, lower in water absorption and stronger in resistance to weak acid or alkali, but heretofore, urea-formaldehyde resin has been prevalently used for production of capsules and little use has been made of melamine-formaldehyde resin. Only recently, use of the latter as a modifier of urea-formaldehyde resin has been proposed (Japanese Patent Kokai (Laid-Open) No. 66878/77).

45 In the past, encapsulation by use of melamine-formaldehyde resin has been suggested in the art as for instance mentioned in Japanese Patent Publication Nos. 12380/62 and 12518/63, but no detailed account has been made of such capsulation method and hence practice of the process suggested in such patents would not lead to production of good capsules.

50 In such circumstances, the present invention is intended to provide the amazingly improved melamine-formaldehyde resin made micro-capsules by using a styrene-maleic anhydride copolymer as adjuvant material.

55 Melamine is sparingly soluble in water, but in view of the fact that agglomerates are obtained when a small quantity of melamine is dissolved in water and added into an aqueous solution of said maleic anhydride copolymer, it is considered that the reaction takes place to a certain degree in such mixture.

60 The micro-capsules of this invention are produced from a process which comprises the following three steps:

65 (1) A hydrophobic material is emulsified in an aqueous solution of a maleic anhydride copolymer (step in an acidic state).

(2) A precondensate of melamine and formaldehyde is prepared (step in an alkaline state).

70 (3) The emulsified version of the hydrophobic material is made into capsules while producing the melamine-formaldehyde resin in an acid state.

75 In the first step, a hydrophobic material (which may be a solution) is dispersed or emulsified with an acid aqueous solution of a styrene-maleic anhydride copolymer. The pH of the solution at the time of emulsification may be of any value provided that it is not higher than 7. The styrene-maleic anhydride copolymers are an excellent dispersant or emulsifier. Such copolymer is used in an amount of about 2 to 20 parts for 100 parts of the hydrophobic material. If the phenomenon of dispersion or emulsification alone is considered, use of a greater amount of said copolymer leads to a better result, but actually, there is a certain limitation to the blendable amount of the copolymer and such amount is determined depending on the required solids concentration in the product, desired particle size distribution, viscosity, price and other factors.

The second step is devoted to preparation of a precondensate of melamine and formaldehyde.

Any usual method may be employed for easily making such precondensate, but the melamine to formaldehyde ratio is an important consideration in

5 this step, that is, it is essential that the molar ratio of melamine: formaldehyde is 1 : 1.5 or higher. When the amount of formaldehyde is less than the above-defined value, no satisfactory capsule is produced. The preferred range of said ratio is from 1 : 2

10 to 1 : 3. If said ratio is within this range, melamine is easily dissolved in a short time (15 to 30 minutes) by heating (about 50°C) in an alkaline condition (pH about 8 to 10) to produce a desired precondensate. The term 'precondensate' used herein refers to a

15 melamine-formaldehyde condensate which is soluble in water. The thus obtained precondensate may vary in the degree of methylation ranging from monomethylolmelamine to hexamethylolmelamine and may be mixed with formaldehyde. It is of course possible to use a commercial melamine resin precondensate if any suitable type is available.

In the third step, the emulsion prepared in the first step and the melamine-formaldehyde precondensate formed in the second step are mixed and heated to harden the melamine-formaldehyde resin precondensate to thereby produce the capsules. Heating in this step is conducted at a temperature of higher than 50°C, preferably 60° to 80°C. The rate of capsule formation varies depending on the temperature and pH of the solution, but usually the satisfactory capsules are produced within one hour. The pH contemplated here is that of the mixture of said emulsion and precondensate. The pH value employable in this step is within the range of 3.5 to 7, preferably 4.0 to 6.5. The amount of the melamine-formaldehyde precondensate added may be suitably selected from within the range of 50 to 500 weight parts per 100 weight parts of the styrene-maleic anhydride copolymer.

40 Confirmation of the formation of the desired capsules can be made by once drying the products and then again dispersing them in water. The degree of encapsulation can be easily determined by microscopically observing the conditions of the formed capsules before and after drying. In the case of the incomplete or defective capsules, the hydrophobic material separates after drying. After confirming the formation of the capsules, the temperature is lowered to room temperature and pH is adjusted to the value allowed at use (normally close to neutral), thereby completing the capsulization.

The hydrophobic material used in this invention may be either liquid or solid at normal temperature.

55 Described below by way of an embodiment is the production of the capsules for no-carbon copying paper for facilitating understanding of the principle of this invention, but the capsules for other uses can be similarly produced.

60 The drawing is the magnified microphotograph of the capsules produced according to the method of this invention, and in the drawing:—

Figure 1 is a scanning electron microscopic photograph (5,000 magnifications) of the micro-

65 capsules produced in Example 1 of this invention.

Example 1

A hydrophobic material was prepared by dissolving under heating 2 gr of crystal violet lactone (CVL) and 1 gr of benzoyl leucomethylene blue (BLMB) in 100 gr of KMC-113 (commercial name for an oil product — an aromatic hydrocarbon solvent mainly composed of diisopropylnaphthalene, b.p. 250–350°C — by Kureha Chemicals), and this hydrophobic material (an oil solution of dye) was

70 emulsified in 100 gr of a 5% aqueous solution (pH 4.0) of Scripset 520 (a styrene-maleic anhydride (1 : 1 by mole) copolymer by Monsanto) having dissolved therein a small quantity of sodium hydroxide. Then, a mixture composed of 10 gr of melamine, 25 gr of 37% formaldehyde and 65 gr of water was rendered into pH 9 with sodium hydroxide and heated to 60°C, whereby the solution became transparent in 15 minutes and a melamine-formaldehyde precondensate was obtained. To this precondensate was added the said emulsion, and after adjusting the solution temperature to 60°C, the mixture was agitated. Formation of the capsules was confirmed in 30 minutes, so that products were cooled down to room temperature. The thus obtained micro-capsules could be excellently applied to no-carbon copying paper. (See the photograph of Figure 1.)

Comparative Example 1 (Micro-capsules produced according to a known method)

95 100 gr of the same hydrophobic material as used in Example 1 (an oil solution of dye) was emulsified in 100 gr of a 5% aqueous solution (pH 4.0) of EMA-31 (copolyethylene-maleic anhydride, M.W. = 80,000, by Monsanto), and this emulsion was added into a solution prepared by dissolving 10 gr of urea, 1 gr of resorcin and 25 of formaldehyde in 100 gr of water. The system temperature was adjusted to 60°C. As formation of capsules was scarcely noticed in one and half hours, the reaction was further continued for 3 hours and the temperature was lowered to room temperature.

Comparative Example 2 (Micro-capsules according to another known method)

100 gr of the same hydrophobic material as used in Example was emulsified in 100 gr of a 5% aqueous solution (adjusted to pH 4.0) of a gelatin (YGL by Miyagi Chemicals, isoelectric point: 5.2), and to this emulsion was added a melamine-formaldehyde precondensate obtained by heating a pH 9.0 mixture of 10 gr of melamine, 25 gr of 37% formaldehyde and 65 gr of water for 30 minutes, and the mixture was agitated at 60°C for 3 hours and then the temperature was lowered to room temperature.

The above-said three kinds of micro-capsules were coated respectively on the surface of commercial no-carbon copying paper base (using phenol resin as acidic material) at the rate of approximately 5 g/m² (on dry basis), and then each thus treated surface was dried at 105°C. The results were as described below.

Example 1

Pure white paper was obtained (whiteness degree: 80.5). No noticeable change was noted after heating at 140°C for 3 hours.

130 Comparative Example 1

There was obtained paper slightly tinted in blue overall and having blue points at places (whiteness degree: 77.0). 3 hour heating at 140°C turned this paper into a fairly thickly blue-colored paper.

5 Comparative Example 2

There was produced entirely blue paper (whiteness degree: below 60), and no good capsules were obtained.

These results show that the capsules of Example 1 alone cause no leakage or oozing of the inner phase (core material) of the capsules and are excellent capsules in all aspects.

Comparative Example 3

10 gr of melamine, 25 gr of 37% formaldehyde and 65 gr of water were mixed and, after adjusting pH to 10.0, the mixture was reacted at 60°C for 30 minutes to obtain a transparent melamine-formaldehyde precondensate. Then 100 gr of a hydrophobic oil was added thereto and the mixture was agitated and emulsified. The oil phase left slightly on the surface and even the reverse phase (W/O) was seen partly, and no good emulsion could be obtained. A microscopic observation showed precipitation of the melamine-formaldehyde resin without encapsulation of oil, and the products could not be regarded as capsules.

Coating a commercial no-carbon paper with this suspension, there was merely obtained a blue paper. When the suspension was left as it was, a part of the oil phase was seen separated in the upper portion.

CLAIMS

1. A method of producing micro-capsules containing a hydrophobic material comprising the steps of dispersing the hydrophobic material in an acidic aqueous solution of a styrene-maleic anhydride copolymer, adding to the dispersion a melamine-formaldehyde precondensate, and heating the mixture in an acidic state to harden the melamine-formaldehyde precondensate.
2. A method according to claim 1, wherein the amount of the styrene-maleic anhydride copolymer is 2 to 20 weight parts per 100 weight parts of the hydrophobic material.
3. A method according to claim 1, wherein the amount of the melamine-formaldehyde precondensate is 50 to 500 weight parts per 100 weight parts of the styrene-maleic anhydride copolymer.
4. A method according to claim 1, wherein the melamine-formaldehyde precondensate has been produced by reacting melamine with formaldehyde in the proportion of 1 : 2 - 1 : 3.
5. Micro-capsules containing the hydrophobic material produced according to the method of claim 1.
6. The invention substantially as herein described.

(12) UK Patent Application (19) GB (11) 2 062 570 A

(21) Application No 8031190
(22) Date of filing 26 Sep 1980
(30) Priority data
(31) 54/126968
(32) 2 Oct 1979
(33) Japan (JP)
(43) Application published
28 May 1981
(51) INT CL³
B01J 13/02
(52) Domestic classification
B8C A
(56) Documents cited
GB 2041319A
GB 2017037A
(58) Field of search
B8C
(71) Applicants
Fuji Photo Film Co., Ltd.,
No. 210, Nakanuma,
Minami Ashigara-shi,
Kanagawa, Japan
(72) Inventors
Yoshiyuki Hoshi,
Takayuki Hayashi
(74) Agents
Gee & Co., Chancery
House, Chancery Lane,
London WC2A 1QU

(54) Process of producing
microcapsules

(57) Microcapsules having as
membrane a polymer of melamine and
formaldehyde are strengthened by
incorporating into the membrane a
polymer or copolymer of
styrenesulfonic acid (SSA) in acid or
salt form, especially by:—
(a) heating melamine and
formaldehyde in aqueous solution to
form some methylol melamine, to give
a prepolymer,
(b) preparing aqueous solution of the

SSA polymer,
(c) dispersing core material, e.g.
emulsifying an oil, into solution (b),
(d) mixing solution from step (a) with
dispersion from (c),
(e) adjusting pH to 4.0 to 6.5,
(f) heating to 40 to 100°C to form
capsules, and
(g) adding a formalin scavenger to
remove free formaldehyde.

The microcapsules, e.g. of size 1 to
20 microns, have strong walls and are
produced in high concentration
without aggregation; they are useful
for pressure-sensitive copying paper.

GB 2 062 570 A

SPECIFICATION

Process of producing microcapsules

The present invention relates to a process of producing microcapsules. More particularly, it relates to a process of producing microcapsules in which a hydrophobic core material is covered with a melamine-formaldehyde polymer.

Microencapsulation is carried out to change the apparent state and properties of the encapsulated core material, protect the material in a finely-divided form, control its release and release the contents at the time desired.

In recent years, microcapsules have been used for image recording materials, medicines, perfumes, agricultural chemicals, adhesives, foods, detergents, dyes, solvents, catalysts, enzymes and rust inhibitors, specific examples being pressure-sensitive copying paper, aspirin capsules, perfume capsules, pressure-sensitive capsule adhesives, active charcoal capsules, enzyme capsules, liquid crystal capsules and methylparathion capsules.

The capsules in pressure-sensitive copying paper contain an acid-reacting color former compound. Further, for the purpose of satisfying certain functional, operational and economic aspects of production, various encapsulation processes have been proposed. As generally known processes for producing microcapsules, there are physical processes, mechanical processes, physiochemical processes and chemical processes. However, the physical processes and the mechanical processes are only utilized for production of capsules having special uses, because they require a particular apparatus. The resulting capsules are large particles having a particle size of several tens of microns or more and the tightness of the membrane of the capsule wall is insufficient.

Physiochemical and chemical processes have the advantages that they do not require a special apparatus, it is possible to produce capsules having any desired particle size ranging from less than 1 micron to several millimeters, and it is possible to control the tightness of the membrane of capsule wall. Accordingly, they are of great practical value, because they can be used for various purposes. Examples of these processes include coacervation, interfacial polymerization and *in situ* polymerization. The coacervation process has been used in a wide variety of fields, but it has the drawbacks that the capsules produced have inferior water resistance, they are expensive, and a capsule solution having a high concentration is difficult to obtain because gelatin is an indispensable component and the steps of encapsulation are complicated. The interfacial polymerization process of forming capsules by a polymerization reaction of a hydrophobic monomer and a hydrophilic monomer on the interface of a core material has the drawbacks that the process involves restricted handling because of the toxicity and lack of stability of the monomer, it deteriorates a core material having active hydrogen atoms or encapsulation thereof is impossible, the reaction is difficult to control, and the membrane of the capsule walls is difficult to thicken because it employs substances having a high reactivity (e.g. polyisocyanates, acid chlorides or epoxy compounds) as the hydrophobic monomer.

In situ polymerization includes processes wherein the capsule wall membrane is formed from the inside of the core material by polymerization of monomers and processes wherein the capsule wall membrane is formed from the outside of the core material. The former processes have the drawback that suitable core materials are restricted because reactants such as polyisocyanates are necessary to obtain good capsule wall membranes. In the latter process for forming the capsule wall, amino resins are generally used (for example, urea-formaldehyde or a melamine-formaldehyde resin).

In recent years, with the increasing application of microcapsules, processes have been desired in which (1) it is possible to employ a variety of core materials, (2) it is possible to carry out encapsulation at a high concentration and high yield, (3) the cost of encapsulation is low, (4) the encapsulation step can be easily controlled, (5) the capsule wall is durable to temperature, humidity and various solvents, (6) the capsule wall does not deteriorate, (7) capsules having a desired particle size and physical strength can be obtained, (8) the capsule slurry has a low viscosity, and (9) the time for encapsulation is short.

The interfacial polymerization process and the *in situ* polymerization process satisfy the above-described requirements to some degree. However, interfacial polymerization and *in situ* polymerization in which the capsule wall membrane is formed from the inside of the core material by polymerization of monomers have the drawback that suitable core materials are restricted because of compound having high reactivity is used as the monomer for the capsule wall. Thus, in the *in situ* polymerization, it is preferred to utilize a process wherein the capsule wall membrane is formed from the outside of the core material by polymerization of a monomer such as described in Japanese Patent Publications Nos. 12380/62, 12518/63 and 14379/69, British Patents 1,355,124 and 2,006,709, Japanese Patent Application (OPI) No. 144383/76 (the term "OPI" as used herein refers to a "published unexamined Japanese patent application"), and U.S. Patents 3,516,941, 4,001,140, 4,105,823, 4,089,802, 4,087,376 and 4,100,103, in which urea-formaldehyde resin or melamine-formaldehyde resin is used as a capsule wall.

By comparison, microcapsules having a melamine-formaldehyde resin membrane are superior to those having a urea-formaldehyde resin membrane, because the membrane is more resistant to temperature, humidity and various solvents. However, in the production of microcapsules having a

melamine-formaldehyde resin membrane, an aggregation of the capsule particles or an increase in the viscosity of the capsule solution easily occur during the encapsulation reaction. As a result, the production of capsules having a melamine-formaldehyde resin membrane must be carried out at low concentrations. Further, because low reaction temperatures are necessary, there is the drawback that the reaction time is long.

Processes which obviate these drawbacks to some degree have been described in U.S. Patent 4,100,103 and British Patent 2,006,709. According to these processes, capsule particle aggregation and increasing viscosity are overcome by using a copolymer of maleic acid anhydride and an ethylenically unsaturated monomer or a polyacrylic acid as a dispersing agent. However, these processes still do not completely prevent aggregation or the increase of viscosity. Furthermore, the ethylene-maleic acid anhydride copolymer (EMA31 produced by Monsanto Co.) used in Example 1 of U.S. Patent 4,100,103 and styrene-maleic acid anhydride copolymer (Scripset 520 produced by Monsanto Co.) used in Example 1 of British Patent 2,006,709 have the drawback that they require a long time for dissolution. Further, the above-described Scripset 520 copolymer has the restriction that the pH of the system is difficult to reduce during or after the reaction, because addition of acid causes precipitation and aggregation of the capsules. Consequently, it is difficult to achieve a pH of 4.5 to 2.0 which is effective for removing residual formalin by the addition of urea after the capsulation reaction. Further, it is difficult to add acid to the capsule solution during the reaction to reduce the pH of the system in order to increase the reaction rate or strengthen the membrane.

Accordingly, the first object of the present invention is to provide a process for producing a capsule solution wherein encapsulation is carried out at a high concentration without aggregation of capsules.

The second object of the present invention is to provide a process for producing a capsule solution in which an increase in viscosity of the capsule solution is small.

The third object of the present invention is to provide a process for producing a capsule solution in which conditions for removing residual formaldehyde are easily adopted.

The fourth object of the present invention is to provide a process for producing a capsule solution in which the pH of the system can be easily reduced by adding acid during the reaction in order to increase the reaction rate and strengthen the membranes.

The fifth object of the present invention is to provide a process for producing capsules containing smaller amounts of melamine and formalin in the capsule wall membrane, whereby the encapsulation cost is low and the amount of residual formalin is reduced.

These objects have been attained by a process for producing capsule membranes comprising a melamine-formaldehyde resin wherein the polymer resin membrane is formed around the core material emulsified or dispersed in the aqueous vehicle in the presence of a styrenesulfonic acid polymer which becomes incorporated in the system. By means of the present invention, a capsule solution having a high concentration (i.e. the upper limit is 70 wt%) can be obtained.

As the styrenesulfonic acid polymer used in the present invention, polystyrenesulfonic acid and copolymers containing styrenesulfonic acid as a component are preferred. Among them, poly(styrenesulfonic acid) is particularly preferred. Examples of copolymers containing styrenesulfonic acid include copolymers of (a) styrenesulfonic acid and (b) acrylic acid, maleic acid anhydride, ethylene or an ethylene derivative.

Examples of these copolymers include the following ("List A").

Acrylic acid-styrenesulfonic acid copolymer

Maleic acid anhydride-styrenesulfonic acid copolymer

Acrylic acid ester-styrenesulfonic acid copolymer

Vinyl acetate-styrenesulfonic acid copolymer

Vinylpyrrolidone-styrenesulfonic acid copolymer

Styrene-styrenesulfonic acid copolymer

Vinylsulfonic acid-styrenesulfonic acid copolymer

Methoxyvinyl-styrenesulfonic acid copolymer

Isobutylene-styrenesulfonic acid copolymer

Isopropyl-styrenesulfonic acid copolymer

The styrenesulfonic acid polymer used in the present invention may be present as the free acid or

a portion of sulfonic acid groups in the molecule may be in the salt form. As typical salts, there are sodium salts, potassium salts and ammonium salts. Sodium salts and potassium salts are preferred. The styrenesulfonic acid polymer used in the present invention preferably has a weight average molecular weight of 5,000 to 2,000,000, more preferably 10,000 to 1,500,000, and most preferably 100,000 to 1,000,000.

The styrenesulfonic acid polymer is used as an aqueous solution. The amount of the styrenesulfonic acid polymer used in the capsule production system is in a ratio of 0.2 to 20 and preferably 0.5 to 10 by weight based on the melamine added. If the amount is less, an increase in viscosity and aggregation occur during the capsulation. Generally a ratio of 0.5 to 5 is used for economic reasons, the dispersing or emulsifying rate and the size of capsules, etc.

Other anionic high molecular electrolytes such as maleic acid anhydride copolymers, carboxy modified polyvinyl alcohol, polyacrylic acid, carboxymethyl cellulose, polyethylenesulfonic acid, sulfonated starch, sulfonated cellulose, lignin sulfonic acid and gum arabic may be used in combination with the styrenesulfonic acid polymer in the present invention. Typically they may be used in an amount of 50% by weight or less based on the styrenesulfonic acid polymer.

As a starting material for producing the capsule membrane composed of melamine-formaldehyde polymer, an aqueous solution of a mixture of melamine and formaldehyde or methylolmelamine is used. Methylol melamine can be easily obtained by heating melamine and formalin in a weakly alkaline state. Commercially available methylol melamine may be used as the starting material.

The molar ratio of melamine and formaldehyde has a great influence upon the wall tightness, strength and shape of the membrane of the resulting capsule walls; a desirable molar ratio of formaldehyde to melamine is about 1.5 to 4, preferably about 2 to 3. The aqueous solution of melamine and formaldehyde preferably contains solid melamine dispersed therein.

A summary of the encapsulation steps preferably used in the present invention is shown below:

(a) Preparation of an aqueous solution of melamine-formaldehyde prepolymer (or precondensate).

(b) Preparation of an aqueous solution of styrenesulfonic acid polymer.

(c) Dispersion (e.g. emulsification) or a core material in the aqueous solution of styrenesulfonic acid polymer.

(d) Adding solution (c) to solution (a) or adding solution (a) to solution (c). (If necessary, the mixture of solution (c) and solution (a) is diluted with water).

(e) Controlling the pH of the solution (d).

(f) Encapsulation by forming the melamine-formaldehyde polymer by raising the temperature.

(g) Removing residual formaldehyde by added a formalin scavenger after, if necessary, adjusting the pH.

(a) Preparation of the melamine-formaldehyde prepolymer is carried out by heating the melamine-formaldehyde solution at a pH of 6 to 10. The heating temperature is 40°C or more and preferably about 50 to 70°C, which is sufficient if methylol melamine is partially formed to produce a transparent solution.

(b) The aqueous solution of styrenesulfonic acid polymer is prepared by dissolving the polymer in a suitable amount of water with heating after being dispersed therein. The styrenesulfonic acid polymer is used in the amount of about 1 to 20 parts (by weight) per 100 parts of the core material, but the amount depends generally upon the concentration at encapsulation, the particle size of the capsules and the viscosity. If the amount is small, good capsules are difficult to produce, because the slurry aggregates with encapsulation. Though a preferred pH for the dispersion (system (c)) is in the range of 1 to 8, a range of 2 to 7 is particularly preferred for the dispersibility of some core materials, emulsification properties, stability of the dispersion or emulsion and preventing the occurrence of large particles upon mixing with the aqueous solution of methylol melamine.

(c) Further, in order to increase the stability of the emulsion of the core material, a polyvalent isocyanate may be added in an amount of 0.05 to 0.5 part (by weight) per 100 parts of the core material, according to the reactivity of the core material. The preferred examples of the polyvalent isocyanate include phenylenediisocyanate, tolylenediisocyanate, diphenylmethanediisocyanate, triphenylmethanetriisocyanate, toluenetriisocyanate or polyisocyanate prepolymer and adducts thereof such as a polyisocyanate prepolymer.

(d) The step of mixing the aqueous solution of methylol melamine and the dispersion of emulsion of the core material is carried out by pouring the dispersion or emulsion of the core material into the aqueous solution of methylol melamine or vice versa. However, the former manner is more preferred, because formation of large particles is prevented.

(e) The pH of the system (mixture (d)) is set at 4.0 to 6.5 after, if necessary, diluting the mixture with water. In general, as the pH controlling agent, acids or alkalis are suitably used. Considering the difficulty of encapsulation, aggregation of capsules and concentration of encapsulation, a preferred pH is in the range of about 5.0 to 6.5 and particularly about 5.5 to 6.3.

(f) Formation of capsules is initiated by heating. The reaction time depends upon the reaction temperature; 1 hour is sufficient at 60°C. The reaction temperature is typically 40°C to 100°C and preferably 50°C to 100°C.

(g) It is important for environmental and health reasons to process the residual free formaldehyde.

For this purpose, a formalin scavenger is added to the resulting capsule slurry. As the formalin scavenger, urea, sulfites, hydrogen sulfites, ethylene urea or hydroxylamine hydrochloride can be used. For optimum reaction conditions, it is necessary to control the pH of the capsule slurry. For example, when urea or ethylene urea is used as the scavenger, the pH of the system should be in the acid region.

- 5 The preferred pH is 4 or less, in which case residual formaldehyde is effectively removed. This is possible in the present invention because the capsule slurry neither increases in viscosity nor aggregates in the low pH region. Heat treatment is also effective for carrying out the scavenger reaction. 5

- In the present invention, examples of the core material which becomes the nucleus of each capsule include natural mineral oils, animal oils, vegetable oils and synthetic oils. Examples of the mineral oils include petroleum and fractions thereof such as kerosene, gasoline, naphtha and paraffin oil. Examples of the animal oils include fish oils and lard oils. Examples of the vegetable oils include peanut oil, linseed oil, soybean oil, castor oil and corn oil. Examples of the synthetic oils include biphenyl compounds (for example, isopropylbiphenyl and isoamylbiphenyl), terphenyl compounds (for example, German Patent Application (OLS) No. 2,153,635, phosphoric compounds (for example, triphenyl phosphate), naphthalene compounds (for example, German Patent Application (OLS) No. 2,141,194), methane compounds (for example, German Patent Application (OLS) No. 2,153,634), phthalic acid compounds (for example, diethyl phthalate, dibutyl phthalate and dioctyl phthalate) and salicylic acid compounds (for example, ethyl salicylate). To these natural mineral oils, animal oils, vegetable oils and synthetic oils, it is possible to add agricultural medicines, medicines, perfumes, chemicals, adhesives, liquid crystals, foods, detergents, dyes, dye precursors, couplers, catalysts and rust inhibitors according to the purpose of use. 10 15 20

The size of microcapsules can be suitably adjusted according to their use. In case of use for pressure-sensitive recording paper, the capsule size is preferably in the range of 1 to 20 microns, more preferably 1.5 to 10 microns, and most preferably 2 to 8 microns.

- 25 The present invention is particularly useful for production of microcapsules for pressure-sensitive recording paper. Namely, according to the process of the present invention, capsule solutions having a high concentration and a low viscosity can be obtained. Further, microcapsules obtained according to the present invention have excellent heat resistance and low permeability. This heat resistance means a difficulty of scattering of the core material to the outside of microcapsules when microcapsules coated and dried on a paper are allowed to stand for 10 hours at 100°C in oven. Namely, the more difficult the scattering of the core material is, the more excellent the capsules are. 30

After the process of the present invention, it is also easier to wash the reactor. Thus, it is possible to obtain an excellent effect in actual production.

- The microcapsule solution produced according to the present invention is applied to bases such as paper according to a suitable conventional method. In particular, curtain coating as described in U.S. Patent 3,508,947, blade coating as described in Japanese Patent Publication No. 35330/74 and air knife coating can be easily utilized for capsule solutions having various viscosities. 35

The present invention is now illustrated by reference to the following examples. The letters (a) to (g) refer to the steps of the aforesaid process.

40 EXAMPLE 1 40

- (b) 5 g of partial sodium salt of polyvinylbenzenesulfonic acid (VERSA TL 500, produced by National Starch Co., average molecular weight 500,000) was added to 95 g of hot water at 80°C with stirring to dissolve the salt. After 30 minutes, the resulting solution was cooled. The pH of the aqueous solution was 2 to 3. To the solution, a 20 wt% aqueous solution of sodium hydroxide was added to adjust the pH to 4.0 (c) A hydrophobic solution obtained previously by dissolving 4 g of 3,3-bis-(p-dimethylaminophenyl)-6-dimethylaminophthalide, known as Crystal VioletLactone ("Crystal" is a registered Trade Mark) in 100 g of liquid KMC—133 (an alkynaphthalene containing diisopropyl naphthalene as a main component, produced by Kureha Chemical Industries Co.) was dispersed in 100 g of the above-described 5% aqueous solution of partial sodium salt of polyvinylbenzenesulfonic acid to prepare an emulsion of 4.5 μ average particle size. 45 50

- (a) On the other hand, 6 g of melamine, 11 g of a 37 wt% aqueous solution of formaldehyde and 83 g of water were mixed with stirring at 60°C for 30 minutes to prepare a transparent aqueous solution of a mixture of melamine, formaldehyde and melamine-formaldehyde precondensate. The pH of the aqueous solution of the mixture was 6 to 8. (Hereinafter, this aqueous solution melamine, formaldehyde and melamine-formaldehyde precondensate is referred to as the precondensate solution.) 55

- (d) the precondensate solution obtained by above-described method was added to the above-described emulsion; (e) a 20 wt% solution of acetic acid was added with stirring to adjust the pH to 6.0. (e) As stirring was continued, the liquid temperature was raised to 65°C and capsules having excellent heat resistance were obtained after 30 minutes. After 60 minutes, capsules having excellent oil resistance were formed, from which the core material was not extracted with oils such as linseed oil or Solvent No. 5 (a petroleum solvent produced by Nippon Oil Co., Ltd.). 60

This dispersion of the capsules was cooled to room temperature and the pH thereof was adjusted to 9.0 with 20 wt% sodium hydroxide. The viscosity was 70 cps at 25°C.

In order to examine the state of aggregation of the capsule particles, 300 g of water was added

thereto and the dispersion was filtered through a 200 mesh screen (aperture size 74 microns). Scarcely any residue was observed, and a capsule dispersion for pressure-sensitive recording paper was obtained, which was suitable for use on production because of its low viscosity.

- 5 However, as a result of measurement by an acetyl acetone process, the amount of the residual formaldehyde was found to be 1,800 ppm before adjustment of the pH to 9.0 after the capsulation reaction. (g) In order to remove this residual formaldehyde, the pH of the system for the encapsulation reaction was adjusted to 4.0 by using 1N hydrochloric acid after the lapse of 60 minutes at 65°C, and 30 g of a 40 wt% aqueous solution of urea was added thereto. Stirring was continued at 65°C. After 40 minutes, the pH of the system was adjusted to 9.0 using 20 wt% sodium hydroxide. The amount of residual formaldehyde in the resulting capsule solution was 180 ppm, which was tolerable for application of the capsule solution.
- 10

COMPARISON EXAMPLE 1

- 5 g of styrene-maleic acid anhydride copolymer (Scripset 520, produced by Monsanto Co.) was dissolved in 95 g of hot water at about 80°C with stirring, adjusting the pH to 4.0 with sodium hydroxide. About 6 hours were required for dissolution. The capsulation reaction was carried out in the same manner as in Example 1 except that the 5 wt% aqueous solution of styrene-maleic acid anhydride copolymer obtained above was used instead of the aqueous solution of partial sodium salt of polyvinylbenzenesulfonic acid. The resulting capsule solution was cooled to room temperature, and the pH thereof was adjusted to about 9.0 with 20 wt% sodium hydroxide. The viscosity was 150 cps at 25°C. Further, in order to examine the state of aggregation of the capsule particles, 300 g of water was added thereto and the solution was filtered with a 200 mesh screen. 0.2 g of the residue was observed and the capsule solution was not suitable on production. Further, when the pH was reduced in the same manner as in Example 1 in order to remove residual formaldehyde, stirring was not possible because of the increase in the viscosity, and it was impossible to remove residual formaldehyde by the addition of urea.
- 15
- 20
- 25

COMPARISON EXAMPLE 2

- 5 g of ethylene-maleic acid anhydride copolymer (EMA 31, produced by Monsanto Co.) was dissolved in 95 g of hot water at 80°C with stirring. About 3 hours were required for dissolution. The encapsulation reaction was carried out in the same manner as in Example 1 except that the 5 wt% aqueous solution of ethylene-maleic acid anhydride copolymer obtained above was used instead of the aqueous solution of partial sodium salt of polyvinylbenzenesulfonic acid. The resulting capsule solution was cooled to room temperature, and the pH thereof was adjusted to 9.0 with 20 wt% sodium hydroxide. The viscosity was 1,000 cps at 25°C. Further, in order to examine the state of aggregation of the capsule particles, 300 g of water was added thereto and the solution was filtered with a 200 mesh screen. 0.4 g of the residue was observed, and the capsule solution was not suitable for production, because of its high viscosity and large amount of residue.
- 30
- 35

Comparisons of capsules, capsule solutions and processes in Example 1 and Comparison Examples 1 and 2 are shown in the following table.

TABLE

Property	Viscosity (25°C, cps)	Aggregation ⁴⁾ (g)	Solubility ¹⁾ of Anionic High Molecular material (hr)	Residue ²⁾ Formaldehyde Removal	Color Forming ³⁾ Ability
Anionic high molecular material:					
Partial sodium salt of polyvinylbenzene-sulfonic acid (Example 1)	70	0.0	0.5	Good	No deterioration
Styrene-maleic acid anhydride copolymer (Comparison Example 1)	150	0.2	6	Inferior	Slight deterioration
Ethylene-maleic acid anhydride copolymer (Comparison Example 2)	1,000	0.4	3	Good	Slight deterioration

1): Time to dissolve

2): By urea

3): For microcapsule coated paper after heated to 100°C for 10 hours

4): Amount of aggregate collected on a 200 mesh screen

EXAMPLE 2

(b) 5 g of vinylbenzenesulfonic acid-maleic acid copolymer (NATROL 72, produced by National Starch Co.) was dissolved in 95 g of hot water at about 80°C with stirring. After about 10 minutes, the resulting solution was cooled. The pH of the aqueous solution was about 6.5. To the solution, a 5N

5 aqueous solution of hydrochloric acid was added to adjust the pH to 3. 5

(c) A hydrophobic solution obtained previously by dissolving 4 g of Crystal Violet Lactone in 100 g of KMC 113 was dispersed by emulsifying in 100 g of the above-described 5% aqueous solution of vinylbenzenesulfonic acid-maleic acid anhydride copolymer to prepare an emulsion having an average particle size of 4.5 μ . (a) On the other hand, 6 g of melamine, 11 g of 37 wt% formalin and 83 g of

10 water were mixed with stirring at 60°C to prepare a transparent aqueous solution of the mixture after 30 minutes. 10

(d) The resulting precondensate solution was added to the above-described emulsion; (e) a 20 wt% solution of acetic acid was added with stirring to adjust the pH to 6.0. (f) Stirring was continued as the temperature was raised to 65°C and capsules having excellent heat resistance were formed after 30 minutes. After 60 minutes, capsules having excellent oil resistance were formed, from which the

15 core material was not extracted with oils such as linseed oil or Solvent No. 5. 15

This capsule solution was cooled to room temperature and the pH thereof was adjusted to 9.0 with 20 wt% sodium hydroxide. The viscosity was 80 cps at 25°C. In order to examine the state of aggregation of capsule particles, 300 g of water was added thereto and the solution was filtered with a

20 200 mesh screen. Residue was hardly observed, and a capsule solution suitable for pressure-sensitive recording paper manufacture was obtained because of having a low viscosity. 20

EXAMPLE 3

An emulsion having an average particle size of about 4.5 μ was obtained by emulsifying as in Example 1 except that 0.3 g of polyisocyanate (Millionate MR100 produced by Nippon Polyurethane Kogyo Co.) was added to 100 g of the hydrophobic solution in Example 1, step (c). The resulting

25 emulsion had good stability, and aggregation did not occur even when stored for 1 week with stirring. A capsule dispersion was obtained in the same manner as in Example 1 using the above-described 25

processed emulsion — the capsule solution contained fewer large particles as a result of aggregation as compared to the capsule solution in Example 1. Further, in order to examine the state of aggregation of residual particles, 300 g of water was added thereto and the solution was filtered with a 200 mesh

30 screen. Residue was hardly observed, and a capsule solution for pressure-sensitive recording paper was obtained, which was suitable on production because of having a low viscosity. 30

CLAIMS

1. A process of producing microcapsules, comprising forming around a core material capsule

35 membranes of a polymer of melamine and formaldehyde in the presence of a polymer or copolymer of styrenesulfonic acid or a salt of said latter polymer so that it becomes incorporated into the membrane. 35

2. A process as claimed in Claim 1, wherein the weight ratio of styrenesulfonic acid polymer to melamine in the capsule production system is 0.2 to 20.

3. A process as claimed in Claim 2, wherein said ratio is 0.5 to 10.

40 4. A process as claimed in any of Claims 1, 2 or 3, wherein said polymer of styrenesulfonic acid is poly-(styrenesulfonic acid). 40

5. A process as claimed in Claim 1, 2 or 3, wherein said copolymer of styrenesulfonic acid is a copolymer with acrylic acid, maleic acid anhydride, ethylene or an ethylene derivative.

6. A process as claimed in Claim 5, wherein said copolymer is any of those named hereinbefore in List A. 45

7. A process as claimed in any preceding claim, wherein said styrenesulfonic acid polymer is present in an amount of 1 to 20 parts by weight per 100 parts by weight of core material.

8. A process as claimed in any preceding claim, which comprises the steps of: (a) preparing an aqueous solution of melamine and formaldehyde prepolymer, (b) preparing an aqueous solution of the

50 styrenesulfonic acid polymer, (c) dispersing core material in said styrenesulfonic acid polymer solution, (d) mixing together said melamine-formaldehyde prepolymer solution and said styrenesulfonic acid polymer solution containing the core material and (f) heating said mixed solution to form microcapsules having membranes made of melamine-formaldehyde copolymer. 50

9. A process as claimed in Claim 8, wherein said melamine-formaldehyde prepolymer was prepared by heating a solution of melamine and formaldehyde at a pH of 6 to 10 at a temperature of 40°C or higher. 55

10. A process as claimed in Claim 8 or 9, wherein the solution of melamine and formaldehyde also contained dispersed solid melamine.

11. A process as claimed in Claim 8, 9 or 10, which also includes the step (e) of controlling the pH of the mixture of solution after step (d) to a pH of 4.0 to 6.5 optionally after diluting the mixture with

60 water. 60

12. A process as claimed in Claim 8, 9, 10 or 11, wherein the pH of the dispersion prepared in step (c) is 1 to 8.

13. A process as claimed in any of Claims 8 to 12, which additionally comprising the step (g) of removing residual formaldehyde after capsule formation.

14. A process as claimed in Claim 13, wherein said residual formaldehyde is removed by adding urea or ethylene urea and allowing it to react with the formaldehyde at a pH of 4 or less.

5 15. A process as claimed in any of Claims 8 to 14, wherein the dispersion formed in step (c) additionally contains a polyvalent isocyanate. 5

16. A process as claimed in any preceding claim, wherein the cored material encapsulated is a natural mineral oil, animal oil, vegetable oil or synthetic oil.

10 17. A process of producing microcapsules as claimed in Claim 1, substantially as hereinbefore described with reference to Example 1, 2 or 3. 10

18. Microcapsules formed by a process as claimed in any preceding claim.

19. Pressure-sensitive copying paper which includes a layer of microcapsules as claimed in Claim 18 containing a color former.

New claims or amendments to claims filed on 12th November, 1980.

15 Superseded claim 1 15

New or amended claim:—

1. A process of producing microcapsules, comprising forming around a core material capsule membranes of a polymer of melamine and formaldehyde in the presence of a polymer or copolymer of styrenesulfonic acid or a salt of said latter polymer so that it becomes incorporated into the membrane.

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.